

AI4-GADD proposal and batchloading.

AI4-A: GADD proposal (see attached)

The cataloging subcommittee recommends that the GADD proposal be accepted. There was not a formal vote; however, the consensus of the subcommittee was to accept the proposal and proceed. One person did feel more discussion was necessary since PINES staff is not positive batchloading will function as we desire and that writing scripts as outlined in the proposal is currently untested.

AI4-B: Proposed new transitional batchloading policy

To accommodate the GADD proposal for e-resources, the Cataloging subcommittee proposes the following change to PINES cataloging policy. This change is seen by the subcommittee as transitional between the current policy of no batchloading to a comprehensive policy that allows batchloading as part of cataloging workflow. Since the PINES staff is currently uncertain how well the Evergreen batch loader will function with the PINES database, the subcommittee feels it is best to phase in batchloading, starting with e-resources.

Current PINES policy regarding batchloading:

[T]he only source of PINES bib records is OCLC's World Cat. New records have to be imported one by one via z39.50 interface. Batch loading records is allowed only for exceptional cases such as when a new system joins pines or for a major Recon project for manual collections.

Batchloading Bibliographic records for e-resources into the PINES database

Batchloading bibliographic records for e-resources will be allowed on a case by case basis with approval of the PINES staff in consultation with the Cataloging Coordinator and Director of the requesting system. For all other formats, Library Systems will continue to bring OCLC's WorldCat records in individually into the PINES database via the Z39.50 Gateway.

- Bibliographic records added to the PINES database will be sourced from OCLC's WorldCat only, whether batchloaded or added individually.
- Given that PINES has not thoroughly tested the Evergreen batchloading functionality as of Oct 3, 2012, implementation of this policy is contingent on that functionality's ability to effectively load records without adding duplicate records.
- Batchloading will be done by the PINES staff. Requests for batchloading must be done with sufficient time for scheduling that accommodates analyzing data files, writing and testing any specific scripts necessary and any other testing that the PINES staff requires for that specific data file.

- Cataloging vendors will work with the PINES staff to ensure that no duplicate bibliographic records will be added to the PINES database. Where multiple libraries use a single vendor for e-resources, data files should be merged and de-duplicated so that a single bibliographic record with the necessary 856 links for all libraries contracted with that vendor.

GADD Proposal for e-book and e-audio book records in the PINES catalog: addition and maintenance

Introduction

In order to facilitate patron discovery of e-resources in Georgia Download Destination (GADD), PINES library members of the consortium wish to have approximately 5000 e-book and e-audio book records batch loaded into the catalog. GADD PINES libraries would also like to add new titles on a monthly basis, as new ones are purchased by individual libraries or the consortium.

GADD would like to see the integration of these e-resources into the PINES catalog to allow patrons one place to access all PINES materials. The inclusion of GADD holdings in the PINES catalog will provide click through access to the web-based GADD catalog via the hyperlink contained in the MARC record's 856 \$z tag. The 856 tag as displayed in the Evergreen OPAC will communicate that a subscription is required for access. Following the link provided, users can then log in to their accounts to download e-books and e-audio books from the GADD website. Along with adding the customized 856 tag, each bibliographic record must be marked as a transcendent source in Evergreen's MARC edit interface so that it will display in the OPAC without any attached barcodes.

The Custom Editing of the 856 Field

The basic format for the linking 856 for any e-resource bibliographic record is:

856 40 \$u <http://GADD.lib.overdrive.com/ContentDetails.htm?ID=CE15554A-D763-489B-8557-19FFBBD93561> \$y Georgia Download Destination \$z [Public Note] \$9 [Holding library (branch or system)]

The subfield 9 contains the library system or branch PINES policy name and signals Evergreen to display the bibliographic record in a search filtered for the library's holdings. In a search for all PINES, the record will display. It should not display in a specific library search if that library is not represented by a subfield 9. It is repeatable and can contain either the branch or system policy name of the library. Since it is a repeatable subfield, only one 856 is necessary for all libraries in the GADD consortium. Additional 856s would need to be added to the record if a non-GADD library held the e-resource from another vendor using a different link.

The records GADD will be adding are OCLC WorldCat records provided to GADD by Overdrive and contain a basic 856 tag. In order for the records to be displayed and to correctly link to the GADD catalog, they will need a custom edited 856 tag in the above format to clarify which patrons can access the e-resource and to designate holding libraries. GADD is proposing three potential ways to custom edit the 856.

1. GADD purchases custom edited records from OCLC. In this scenario, provision will still need to be made to mark the records as having a transcendent source. This should occur during import of the records. If not, it will have to be done either:

- a) Individually by GADD PINES catalogers. A process shared equally among GADD PINES Catalogers
- b) With scripts developed by the PINES System Administrator

2. GADD libraries in PINES will add the necessary information to the 856 fields in the records and mark them as a transcendent source. All GADD catalogers whose libraries? are also PINES members will share in this task equally for consortium purchased titles. Those libraries that are part of the consortium at the Advantage level will be responsible for those unique titles they purchased.

3. The PINES System Administrator develops a script to edit the 856 \$z and 856 \$9, adding the agreed upon, necessary information and marking the records as from a transcendent source.

We recommend the following wording for the public note (856 \$z):

[Access Georgia Download Destination \(Patrons of participating GADD libraries please click here\)](#)

An 856 \$9 for each GADD library must be added to enable the title to show during searching in PINES when search is limited to that library.

856 tag -- \$9 for each GADD holding library

Example:

856 tag -- \$9 ARL \$9 OHOOP

Proposed 856 example:

856 40 \$u <http://gadd.lib/overdrive.com/> \$z [Access Georgia Download Destination \(Patrons of participating GADD libraries please click here\)](#) \$9 ARL \$9 OCRL -DUBLN\$9 ...

GADD Responsibilities

Overdrive will assist GADD and PINES to coordinate access to OCLC/WorldCat MARC records for integration into the library catalog for patron searching and direct access to e-book and e-audio book titles. In accordance with PINES cataloging policy, only OCLC WorldCat records for the GADD titles will be added to the PINES bibliographic database. It will be the responsibility of the GADD libraries to ensure all records comply with PINES cataloging policies and procedures. Any additional editing of purchased OCLC MARC records will be the responsibility of the GADD PINES libraries. If any scripts are run by PINES staff on GADD records, it will be the responsibility of the GADD PINES libraries to ensure they have run correctly and to report any problems to PINES staff in a timely manner. If the GADD consortium chooses

to purchase customized MARC records from OCLC, the GADD consortium is responsible for any charges beyond the current contracted cataloging subscription.

Ongoing additions and record maintenance

After the initial loading of the already purchased titles, GADD will add new titles monthly. These additional records can be brought in individually by PINES GADD member catalogers on a rotating basis (alphabetically by library system) or by batch loading, if available and allowed under PINES cataloging policies. Advantage clients will be responsible for adding their individual records. According to PINES cataloging policies and procedures, every effort will be made to avoid the addition of duplicate records.

These records will remain in the catalog indefinitely. If a publisher pulls a title then GADD will be notified by Overdrive, and GADD catalogers, on a rotating basis alphabetically, will remove the title from the catalog. The links remain current according to the contract with Overdrive. If for some reason this is not so, then GADD libraries will correct the link upon notification by Overdrive. This too will be a shared task by GADD catalogers on a rotating basis.

Current plans are to stay with Overdrive and continue providing e-books and e-audio books for patrons. If the GADD contract with Overdrive ends, GADD catalogers will share equally in the deletion of these records from PINES, or if possible PINES will batch delete. If it is possible to continue with these e-resource records, it will be GADD catalogers' responsibility to edit the 856 tag to maintain currency and to delete any titles not retained. This too will be shared equally. If PINES staff can supply a script for this edit that will be the optimal choice and will supersede doing this task title by title by GADD catalogers.

Whatever procedures are developed for GADD records in PINES should be useful and applicable to adding any e-resource to the catalog by any PINES member. As with the GADD consortium, any extra costs for bibliographic records purchased from an outside vendor will be absorbed by the individual PINES member library. All such records purchased will be OCLC/WorldCat MARC records and will comply with PINES cataloging policies and procedures.

Requested Assistance from PINES

GADD will need the assistance of the PINES System Administrator to test batch loading these records in PINES and to assist in the batch loading of all the GADD records if this proposal is approved. We will need them to perform any script writing and application. We will also need the assistance of the PINES Bibliographic and Metadata Manager with any cataloging issues that may arise. It might be necessary for the PINES Cataloging Specialist to contribute her knowledge of e-book and e-audio book cataloging as well.